

PTO-1390 (Rev. 07-2005) Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER 740789-56330

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/521,232

INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE

PRICRITY DATE CLAIMED

PCT/GB2003/003054 14 July 2003 (14.07.2003) 13 July 2002 (13.07.2002)					
TITLE OF INVENTION FLAVONOID COMPOUNDS AS THERAPEUTIC ANTIOXIDANTS					
APPLICANT(S) FOR DO/EO/US ROWETT RESEARCH INSTITUTE; CALDWELL, Stuart Thomas; BENNETT, Christopher James; HARTLEY, Richard Charles; MCPHAIL, Donald Barton and DUTHIE, Garry Graeme					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.					
This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.					
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.					
4. The US has been elected (Article 31).					
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))					
a. is attached hereto (required only if not communicated by the International Bureau).					
b. has been communicated by the International Bureau.					
c. is not required, as the application was filed in the United States Receiving Office (RO/US).					
6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).					
a. is attached hereto.					
b. has been previously submitted under 35 U.S.C. 154(d)(4).					
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))					
a. are attached hereto (required only if not communicated by the International Bureau).					
b. have been communicated by the International Bureau.					
c. have not been made; however, the time limit for making such amendments has NOT expired.					
d. have not been made and will not be made.					
8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).					
9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).					
An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).					
Items 11 to 20 below concern document(s) or information included:					
11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.					
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.					
A preliminary amendment.					
An Application Data Sheet under 37 CFR 1.76.					
15. A substitute specification.					
16. X A power of attorney and/or change of address letter.					
17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.					
18. A second copy of the published International Application under 35 U.S.C. 154(d)(4).					
19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).					

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	10/521,232 PCT/GB2003/003054		740789-56330			
20. Other items or information: Certificate of Mailing; Letter to Examiner; Statement under 37 C.F.R. 3.73(b) Establishing Right of Assignee to Take Action; and Return Receipt Postcard						
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_		een submitted			\$	PTO USE ONLY
21. Basic natio	onal fee (37	CFR 1.492(a))		\$300	<b>.</b>	
22. Examination fee (37 CFR 1.492(c))						
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)			\$			
IPEA/US indica Search fee (37 CFR 1.4 International So International Search Re previously comr	the ISA/US ates all claim 445(a)(2)) ha earching Au eport preparmunicated to	or the Internation is satisfy provision as been paid on the thorityed by an ISA other the US by the IE.	nal preliminary examination re ns of PCT Article 33(1)-(4) he international application to er than the US and provided t	\$0 the USPTO as an\$100 o the Office or\$400	\$	
TOTA	L OF 21, 22	2 and 23 =			0.00	)
Additional fee for s sequence listing electronic media	pecification g in complia ium) (37 CF	and drawings file nce with 37 CFR R 1.492(j)).	d in paper over 100 sheets (e 1.821(c) or (e) or computer p s of paper or fraction thereof.			
Total Sheets Extra	Sheets		additional 50 or fraction up to a whole number)	RATE		
- 100 =	/50 =			x \$250	\$ 0.00	) I
Surcharge of \$130.00 for after the date of comme	or furnishing encement of	any of the searc the national stag	th fee, examination fee, or the te (37 CFR 1.492(h)).	oath or declaration	\$ 0.00	
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$	
Total claims	•	- 20 =		x . \$ 50	\$	
Independent claims		- 3 =	·	× \$200	\$	
MULTIPLE DEPENDEN	NT CLAIM(S	) (if applicable)		+ \$360	\$ 0.00	
			TOTAL OF ABOVE	CALCULATIONS =	\$ 0.00	
Applicant claims sn	nall entity st	atus. See 37 CFF	R 1.27. Fees above are reduc	ced by 1/2.	0.00	
·		•		SUBTOTAL =	\$ 0.00	
Processing fee of \$130. claimed priority date (37			translation later than 30 month	ths from the earliest +	\$	0.00
			TOTAL	NATIONAL FEE =	\$	0.00
Fee for recording the er by an appropriate cover			1.21(h)). The assignment mu \$40.00 per property	st be accompanied +	\$	0.00
			TOTAL F	EES ENCLOSED =	\$	0.00
					Amount to be refunded:	\$
					Amount to be charged	\$ .

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а. 🔲	A check in the amount of \$	to cover the above fe	es is enclosed.				
ь. 🗆	Please charge my Deposit Account NoA duplicate copy of this sheet is enclosed.	in the amount of \$	to cover the above fees.				
c. X	The Commissioner is hereby authorized to charge any a Account No50-0850 A duplicate copy of this she	additional fees which ret is enclosed.	nay be required, or credit any overpayment to Deposit				
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
	NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.						
David Nixon 100 St	LL CORRESPONDENCE TO: S. Resnick Peabody LLP ummer Street 1, MA 02110	_	SIGNATURE  David S. Resnick/Nicole L.M. Valtz  NAME  34,235/47,150  REGISTRATION NUMBER				
			*				

Practitioner's Docket No. 740789-56330

### **PATENT**

### IN THE UNITED STATES RECEIVING OFFICE

PCT/GB2003/003054	14 July 2003 (14.07.2003)	13 July 2002 (13.07.2002)
International	International	International Earliest
Application Number	Filing Date	Priority Date

TITLE OF INVENTION: FLAVONOID COMPOUNDS AS THERAPEUTIC ANTIOXIDANTS

**APPLICANT(S) FOR EO/DO:** 

ROWETT RESEARCH INSTITUTE

INVENTOR(S)/APPLICANT(S) FOR US: CALDWELL, Stuart Thomas; BENNETT, Christopher James; HARTLEY, Richard Charles; MCPHAIL, Donald

Barton; DUTHIE, Garry Graeme

**U.S.S.N.:** 

10/521,232

MAIL STOP PCT **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

# **CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

I hereby certify that the attached correspondence comprising:

- 1. Certificate of Mailing (1 pg.);
- 2. Form 1390 page 3 in duplicate (4 pp.);
- 3. Letter to Examiner (1 pg.);
- 4. Statement under 37 C.F.R. 3.73(b) Establishing Right of Assignee to Take Action (2 pp.);
- 5. Power of Attorney by Assignee of Entire Interest (Revocation of Prior Powers) (2 pp.); and
- 6. Return Receipt Postcard.

is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

> MAIL STOP PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

gnature of person mailing paper

## Practitioner's Docket No. 740789-56330

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**U.S.S.N.:** 

10/521,232

MAIL STOP PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# **LETTER TO EXAMINER**

Applicants are filing herewith documents to transfer the power of attorney in the above-captioned application, namely a Statement Under 37 C.F.R. § 3.73(b) Establishing Right of Assignee to Take Action, and a Power of Attorney by Assignee of Entire Interest (Revocation of Prior Powers). Applicants note that the "header" on the second page of the Statement Under 37 C.F.R. § 3.73(b) contains a typographical error, referring to a different application. Applicants respectfully submit that is merely a clerical error, and note that the correct application, U.S. 10/521,232, is correctly referenced on the cover page of the Statement Under 37 C.F.R. § 3.73(b), as well as on all other papers being submitted herewith. Accordingly, applicants request that the prior power of attorney be revoked, and the new power of attorney appointed, as indicated in the documents being filed herewith.

Date: September 29, 2005

Respectfully submitted,

David S. Resnick (34,235)

murel in rabot

Nicole L.M. Valtz (47,150)

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